Improved view synthesis by motion warping and temporal hole filling

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Abstract—In this paper, we presented a temporal hole filling method based on decoder-side motion derivation and a sub-pixel precision warping technique that can be applied for both DIBR warping and motion compensation. We retrieve real information on disoccluded areas from previously synthesized past or future frames in order to reduce holes in a synthesis. We apply our warping technique for DIBR rendering and reduce disocclusions in the synthesis. Our method brings gains of up to 0.31dB PSNR in average over the VSRS1D-Fast rendering software in 3D-HEVC for several test sequences.

Index Terms—multiview video plus depth, 3DV, temporal and inter-view prediction, view synthesis, 3D-HEVC.

I. INTRODUCTION

R ECENT advances in video coding, transmission and display technologies have raised the quality of 3D video representations to an acceptable level for usage in everyday applications. Usual application scenarios include 3D video, immersive teleconference systems and Free View Point Television (FTV) [1] [2]. A common format for these applications is MultiView Video (MVV) which is composed of several video sequences representing the same scene and acquired from different points of view. Another common representation is the Multiview-Video-plus-Depth format (MVD) [3], where each view contains associated depth information. This representation allows the high quality synthesis of view points at the receiver side different from the transmitted views. A typical class of synthesis methods that exploits this format is based on Depth-Image-Based-Rendering (DIBR) techniques [4].

The Moving Pictures Experts Group (MPEG) displayed a high level of interest for MVD formats and their ability to support multiview video applications. An experimental framework for this format was developed as a 3D extension of High Efficiency Video Coding standard [5]. The 3D-HEVC test model [6] also defined a View Synthesis Reference Software (VSRS) which allows additional views to be rendered from MVD sequences using DIBR methods.

A common problem in view synthesis is caused by areas of the scene which are occluded from one point of view but are visible from another. When rendering a new a video from a new point of view, these areas will appear as "holes" in the synthetized image, also referred to as disocclusions. Traditionally this problem is resolved with inpainting algorithms such as the ones described in [7] or [8]. Two popular inpainting algorithms were developed by Bertalmio [9] and Criminisi *et al.* [10]. However, the temporal correlations in a video sequence allow for a different approach. Because disoccluded content is part of the background a first class of methods propose to fill in disocclusions by extracting background information from adjacent real views [11] [12]. Other methods use temporal correlations in the synthesized view in order to retrieve information from different time instants. Shimizu and Kimata [13] use motion estimation directly in the rendered view to improve the synthesis. Chen *et al.* [14] use block-based motion estimation in adjacent views and retrieve information about disoccluded areas by warping the start and end point of the motion vectors.

In this paper we present a new temporal hole filling scheme. We use dense motion vector fields computed with optical flow [15] and warp them at the level of the synthetized view by imposing an epipolar constraint [16]. Furthermore we introduce a simple warping technique that can be used for both motion compensation and DIBR warping. This technique is used to warp the real adjacent views, and then to motion compensate a past or future frame using derived motion vectors from the left or right base views. This allows us to partly fill disocclusions with real background information from other temporal instants. The remaining holes can be filled with any inpainting algorithm. This method is very robust and be used with any motion estimation technique and a variety of schemes for the reference past and future frames.

In Sec. II we present our temporal hole filling scheme, and Sec. III describes our warping technique. The experimental results are reported in Sec. IV and Sec. V concludes the paper.

II. TEMPORAL HOLE FILLING

In general, disocclusions in the synthesized view can be classified in two categories depending whether the area in the reference view is a border or non-border occlusion [17]. Border occlusions occur due to the reference image missing portions of the field of view that should be visible in the synthesized view. This types of occlusions are resolved by performing a synthesis from a left and a right reference view. The non-border occlusions are caused by objects in the foreground that obscure parts of the background that should be visible in the synthesis. Due to the motion of the foreground objects and camera, this types of occlusions vary over time and produce different holes at different time instants. Thus, part of the missing information may be available in frames at different time instants. By exploiting the temporal correlation in the video sequence we can retrieve additional information and reduce the size and the number of holes in the synthesis. In Fig. 1 a foreground object is represented in two views at two different time instants, black arrows represent the motion



Fig. 1. Temporal retrieval of disoccluded area. Yellow dotted squares mark the position of the object in the previous frame, the green dotted square shows the disocclusions in the previous frame and red dotted squares mark the disoccluded area that was visible in a previous frame.

vector field and disparity fields for a past and current (c) time instant $(\mathbf{v}_l, \mathbf{d}_p, \mathbf{d}_c)$ and the red dashed arrow represents the motion vectors in the synthesized view, which can be used to retrieve information about the disoccluded area. Yellow and green dotted lines show the position of the object and disoccluded area respectively, in the past frame. It can be observed that a part of the disoccluded area in the current frame was visible in a past frame due to the motion of the object (this is shown in the figure with a dotted red line). In Fig. 2 we show the relation between motion vectors field (MVF) and disparity maps for three views of a MVD sequence. Let us consider two base views, left and right, and an intermediate view which is synthesized at the decoder side using classic DIBR algorithms. I^l , I^r and I^s denote frames from the left, right and synthesized views respectively at a past, current or future time instant (p, c, f). v and d are the MVF and disparity maps respectively. The projection of a real



Fig. 2. Temporal hole filling scheme, for two base views and an intermediary synthesis, using past and future synthesized frames to retrieve information.

world point in the synthesized view can be modeled using disparity maps. Let us consider a point $\mathbf{k} = (x, y)$ in frame I_p^l , its projection in the synthesized frame is obtained by warping the point to a new position using the vector $\mathbf{d}_p^l(\mathbf{k})$. By compensating the motion in I_p^s with the vector $\mathbf{v}_p^s(\mathbf{k} + \mathbf{d}_p^l(\mathbf{k}))$ we obtain the projection of the point at the current time instant in frame I_c^s . The same projection should be obtained by first compensating the motion in the base view and then warping the point with the associated disparity vector $\mathbf{d}_c^l(\mathbf{k} + \mathbf{v}_p^l(\mathbf{k}))$.

This condition defines a so-called *epipolar constraint* [16] for point **k**, written as:

$$\mathbf{v}_p^l(\mathbf{k}) + \mathbf{d}_c^l(\mathbf{k} + \mathbf{v}_p^l(\mathbf{k})) = \mathbf{d}_p^l(\mathbf{k}) + \mathbf{v}_p^s(\mathbf{k} + \mathbf{d}_p^l(\mathbf{k}))$$
(1)

Based on Eq. 1, \mathbf{v}_p^s can be derived from \mathbf{v}_p^l , \mathbf{d}_p^l and \mathbf{d}_c^l as:

$$\mathbf{v}_p^s(\mathbf{k} + \mathbf{d}_p^l(\mathbf{k})) = \mathbf{v}_p^l(\mathbf{k}) + \mathbf{d}_c^l(\mathbf{k} + \mathbf{v}_p^l(\mathbf{k})) - \mathbf{d}_p^l(\mathbf{k})$$
(2)

In practice \mathbf{v}_p^s is obtained by warping \mathbf{v}_p^l with the disparity map \mathbf{d}_p^l and then adjusting the motion intensity with the difference in disparity for point **k** at current and past time instants. This method can be applied for past and future time instants using either the left or right view. A dense motion vector field in the base views can be obtained from the current frame and either a future or past one with an optical flow algorithm [18]. Assuming we are dealing with a 1D parallel camera setup, the disparity maps only have an x component which is easily computed from the corresponding depth maps of each base view [2] as:

$$\mathbf{d}(\mathbf{k}) = f \cdot B\left[\frac{Z(\mathbf{k})}{255}\left(\frac{1}{Z_{min}} - \frac{1}{Z_{max}}\right) + \frac{1}{Z_{max}}\right] \quad (3)$$

where Z is the depth map, Z_{min} and Z_{max} are the minimum and maximum depth values respectively, f is the focal length of the camera and B is the baseline between the synthesized and base views.

If we decompose Eq. 2 for x and y components, we have:

$$\mathbf{v}_{p,x}^{s}(x + \mathbf{d}_{p,x}^{l}(x, y), y) \\
= \mathbf{v}_{p,x}^{l}(x, y) + \mathbf{d}_{c,x}^{l}(x + \mathbf{v}_{p,x}^{l}(x, y), y + \mathbf{v}_{p,y}^{l}(x, y)) - \mathbf{d}_{p,x}^{l}(x, y) \\
\mathbf{v}_{p,y}^{l}(x + \mathbf{d}_{p,x}^{l}(x, y), y) = \mathbf{v}_{p,y}^{l}(x, y)$$
(4)

Note that the motion vectors always point from past or future frames (I_p^r, I_f^r) to the current frame (I_c^r) . This requires a "backward" motion compensation in order to retrieve the information on the disoccluded areas of I_c^s . A different direction of the vectors can easily be obtained by simply reversing the input of the optical flow algorithm. In this case, Eq. 2 becomes:

$$\mathbf{v}_p^s(\mathbf{k} + \mathbf{d}_c^l(\mathbf{k})) = \mathbf{v}_p^l(\mathbf{k}) + \mathbf{d}_p^l(\mathbf{k} + \mathbf{v}_p^l(\mathbf{k})) - \mathbf{d}_c^l(\mathbf{k})$$
(5)

The MVF will contain holes after warping it at the level of the synthesized view. Since I_c^s is obtained through warping I_c^l with \mathbf{d}_c^l , \mathbf{v}_p^s will not be defined in positions coinciding with disoccluded areas in I_c^s , thus making it impossible to fill this area. Using a different time instant will result in the same holes. The "backward" motion compensation will ensure that the holes correspond with the disocclusions when warping I_p^l or I_f^l to I_p^s and I_f^s respectively, when using the left base view. Disocclusions at different time instances do not necessary coincide and so additional information can be obtained for the current frame using motion compensation.

III. SUB-PIXEL PRECISION WARPING

In addition to warping the side views as traditionally done in view synthesis, our method requires a warping of the motion vector fields in the adjacent views and a "backward" motion compensation of past or future frames. In practice Eq. 2 translates to a number of different operations. Firstly, the disparity map is warped using the MVF in the real view $(\mathbf{d}_c^l(\mathbf{k}+\mathbf{v}_p^l(\mathbf{k})))$. Secondly, the values in the real view MVF are adjusted with the difference of the warped \mathbf{d}_c^l field and \mathbf{d}_p^l and finally each of these values are attributed to $\mathbf{k} + \mathbf{d}_p^l$ positions in \mathbf{v}_p^s . In order to take full advantage of dense motion vector fields and depth computed disparity we propose a simple technique for sub-pixel precision warping and "backward" motion compensation.

To better describe our method let us consider the motion vector field $\mathbf{v}_s(\mathbf{k})$, the disparity field $\mathbf{d}_t(\mathbf{k})$, I_p^s, I_t^r images and a so-called "fractional grid" for warped positions $I^{fg}(\mathbf{u})$ where positions $\mathbf{u} = (x, y)$ relate to positions $\mathbf{k} = (c, r)$ in the image, MVF or disparity field as shown in Eq. 6:

$$\tau(\mathbf{k}) = \mathbf{u}$$
 $\tau(\mathbf{k}) = (c/\alpha, r/\alpha)$ (6)

where α defined as $1/t, t \in \mathbb{N}$ is used to indicate the precision of the warping. Considering \mathbf{v}_s and \mathbf{d}_s contain fractional values, the goal is to perform a sub-pixel precision warping of I_t^r with the disparity field \mathbf{d}_t and to "backward" motion compensate I_p^s image using the derived MVF. A first step is to quantize the values in **d** in function of the precision parameter α as shown in Eq. 7:

$$\Phi(x,y) = \left(\lfloor \frac{x}{\alpha} + \alpha \rfloor \alpha, \lfloor \frac{y}{\alpha} + \alpha \rfloor \alpha\right)$$
(7)

where Φ is a rounding operation and "[]" indicates a floor operation. The quantized values of disparity and motion vectors are obtained by applying Φ over the two vector fields. The actual synthesis is performed in three steps, a warping of the inter-view reference image I_t^T in I^{fg} , a filtering step and a temporal hole filling.

The I_t^r image is warped in I^{fg} as shown in Eq. 8:

$$I^{fg}(\tau(k + \Phi(\mathbf{d}_t(k)))) = I_t^r(k);$$
(8)

where $\mathbf{k} \in I_t^r$. Overlapping values in I^{fg} will be dealt with by using the disparity information which relates to depth as shown in Eq. 3. High disparity indicates an object in the foreground and should be considered over a point with low disparity value. Nevertheless, overlaps should be marked and both values should be considered in the filtering step described in what follows.

In Fig. 3 we show an example of sub-pixel precision warping using our proposed method. In the left side of the image we show a simple luminance matrix (top-left) with a corresponding disparity or MV field for X-axis (top-right) and Y-axis (bottom-left). On the right side of the image a fractional grid is displayed after displacing the pixels from the luminance image using Eq. 8. With dotted lines we represent 2 examples of filtering windows. Green indicates a hole and red an overlapping between foreground and background. The final luminance image (bottom-right) is obtained by centering a filtering window in each position $u = \tau(\mathbf{k})$ when $\mathbf{k} \in \text{image}$. The output of the filter is obtained in two steps. First we identify the foreground luminance values by creating a list of pixels found in the filtering window and ordering them with respect to their associated depth in the reference image I_t^r . All $\{s, .., n\}$ positions in our list are then interpolated to obtain the final value, s is obtained using Eq. 9:

$$\mathcal{L} = \{d_1, ..., d_i, ..., d_n\}$$

$$\mathcal{L}_{dif} = \delta_1, \delta_2, ..., \delta_{n-1}$$

$$\Delta(i) = \frac{\delta_i - \delta_{i-1}}{\delta_{i-1}} \qquad \Delta(s) > \beta$$
(9)

where d_i are depth values, \mathcal{L} is our list, $\delta_i = d_{i+1} - d_i$ and β is an empirically determined threshold. Finally, we apply



Fig. 3. A simple sub-pixel precision warping example with our proposed technique. Dotted lines represent filtering windows and the corresponding result in the warped image; green indicates a hole and red a case of foreground and background overlapping.

the temporal hole filling algorithm for unknown areas. We use derived motion vectors from the adjacent views as shown in Sec. II to backward motion compensate a past or future synthesized frame and extract additional information about the disoccluded area in the current frame. Note that past and future motion reference frames do not have an associated depth map, in this case when we derive a vector from the left or right MVFs to \mathbf{v}_s we retain the corresponding depth from left and right, future and past frames, see Fig. 2. Additional unfilled disocclusions are marked for post synthesis inpainting.

IV. EXPERIMENTAL RESULTS

A. Experimental setting

We test our method on four multiview sequences defined in the Common Test Conditions (CTCs) for conducting experiments with the reference software of 3D-HEVC [19]: Balloons, Kendo, Newspaper and PoznanHall2. For each sequence we consider two reference views and we synthesize a middle view with our method and the reference VSRS1D-Fast rendering of 3D-HEVC [6]. In order to have a fair comparison we use the same hole filling in both synthesis methods. Each of the tested sequences is encoded using the configuration described in the CTCs. Four different QPs (25 30 35 40) are used for the texture encoding, the depth maps are encoded using corresponding QPs (34 39 42 45) as indicated by the CTCs. For more details on the sequences check Tab. I. We evaluate the PSNR of the synthesis against original views for each sequence at each of the tested QPs. The encoding is performed with 3D-HEVC, the left view is set as base view, and the right as dependent view. The GOP size is set to 8, and the first frame of each GOP is used as a reference frame for temporal hole filling of the other frames of the GOP, inside the synthesized view. These reference frames are synthesized with VSRS1D-Fast. In our experiments we set β parameter to 1/10 and α to 1/4, the size of the filtering window is set to 5. The dense MVFs are computed using the optical flow

Class	Sequence	Frames per second	Number of frames	Views
$\begin{array}{c} \text{class A} \\ (1920 \times 1088) \end{array}$	PoznanHall2	25	200	567
class C	Balloons	30	300	135
(1024×768)	Kendo Newspaper	30 30	300 300	135 246

TABLE I

SEQUENCES USED IN OUR EXPERIMENTS.

algorithm in [18] between frames of the reference views. The optical flow parameters used in our experiments along with more details can be found in [20].

B. View synthesis results

Tab. II shows the PSNR results for our method and the reference, for each tested sequence and QP. We can see that the proposed method outperforms the reference on all tested sequences, obtaining and overall average gain of 0.31dB.

Tab. III shows the PSNR results on disoccluded areas. The same filling was used for both methods, this results reflect the improvement achieved only through temporal hole filling. We can see that even though only a part of the disoccluded areas is completed with temporal predicted pixels (as described in Sec. II) we are able to achieve a good PSNR improvement. Note that these gains only reflect disoccluded areas, which represent a small percentage of the image, as shown in the table. The gain obtained for the entire frame comes from both temporal hole filling and proposed warping.

In Fig. 4 we show the PSNR comparison between our proposed method and the reference one for Balloons and Newspaper sequences. Out of the four tested sequences our method has the lowest gain on Balloons sequence and the highest gain on Newspaper sequence. We only show the result for QP25, the behavior is similar across all QPs. In Figs. 4(a) and 4(b) the PSNR is computed over the entire frame and in Figs. 4(c) and 4(d) the PSNR is computed over the disoccluded areas. We can see that our method outperforms the reference throughout the sequences on both full frame and disocludded areas.

V. CONCLUSION

In this paper, we presented a temporal hole filling method based on decoder-side motion derivation and a sub-pixel precision warping technique that can be applied for both DIBR warping and motion compensation. We retrieve real information on disoccluded areas from previously synthesized past or future frames in order to reduce holes in a synthesis. We apply our warping technique for DIBR rendering and reduce disocclusions in the synthesis. Our method brings gains of up to 0.31dB PSNR in average over the VSRS1D-Fast rendering software in 3D-HEVC for several test sequences.



Fig. 4. PSNR variation of the middle synthesized view over time for the reference and proposed method at QP 25 in Balloons and Newspaper sequences. Figs. 4(a) 4(b) show the PSNR comparison over full frame and Figs. 4(c) 4(d) show the PSNR comparison over disoccluded areas.

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Sequence		Refe PSNI	rence R (dB)			Proj PSNI		Gain	Avg. Gain (dB)				
QPs	25	30	35	40	25	30	35	40	25	30	35	40	
Balloons	34.41	34.12	33.47	32.45	34.45	34.19	33.57	32.55	0.04	0.08	0.1	0.1	0.08
Kendo	35	34.53	33.79	32.77	35.4	34.92	34.17	33.1	0.4	0.39	0.38	0.32	0.37
Newspaper	29.2	29.05	28.78	28.31	29.83	29.71	29.4	28.84	0.63	0.66	0.62	0.53	0.61
PoznanHall2	36.25	35.87	35.36	34.55	36.35	36.03	35.62	34.78	0.11	0.15	0.26	0.23	0.18

TABLE II

AVERAGE PSNR AND GAIN FOR EACH SEQUENCE AND EACH QP FOR THE REFERENCE AND PROPOSED METHOD.

Sequence	Reference PSNR (dB)			Proposed PSNR (dB)				Gain (dB)				Holes (%)	Avg. Gain (dB)	
QPs	25	30	35	40	25	30	35	40	25	30	35	40		
Balloons	24.73	24.89	24.77	24.27	26.08	26.01	25.86	25.3	1.34	1.12	1.09	1.03	0.11	1.14
Kendo	25.51	25.73	25.99	26.03	26.51	26.72	26.52	26.41	1	0.99	0.53	0.38	0.08	0.72
Newspaper	18.83	19.13	18.98	19.5	19.57	19.74	20.01	20.13	0.74	0.61	1.04	0.63	0.3	0.755
PoznanHall2	28.68	27.85	28.52	28.67	30.94	29.77	28.67	29.71	2.26	1.92	0.15	1.04	0.04	1.34

TABLE III

AVERAGE PSNR AND GAIN FOR EACH SEQUENCE AND EACH QP. THE COMPUTATION IS PERFORMED ONLY FOR DISOCCLUDED AREAS.

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